

On the Decomposition Theorem for ($n-2$)-Connected $2n$ -Dimensional π -Manifolds

Junkyo IWASA and Ken-ichi MIYOSHI

Department of Mathematics, Faculty of Science, Kanazawa University

(Received February 23, 1979)

Abstract. Let M be an $(n-2)$ -connected $2n$ -dimensional closed π -manifold. H. Ishimoto showed in [1] that under some conditions the manifold M is decomposed as a connected sum of some copies of $S^n \times S^n$ and an $(n-2)$ -connected $2n$ -dimensional closed π -manifold which has the vanishing n -th homology group. The purpose of this paper is to prove the uniqueness of such decomposition.

1. Introduction

For every simply connected 6-dimensional closed manifold M , there exists a decomposition

$$M = M_0 \# (S^3 \times S^3) \# \cdots \# (S^3 \times S^3)$$

with $\text{rank} H_3(M_0) = 0$ (See Theorem 1 in [3]). A.V. Zhubr showed in [4] that such decomposition is unique.

Generally, the following has been shown (See Theorem 3.1 in [1]).

SPLITTING THEOREM (H. Ishimoto). *Let M be an $(n-2)$ -connected $2n$ -dimensional closed π -manifold ($n \geq 3$) such that $H_{n-1}(M)$ has no torsion. We assume that the Arf invariant of M is zero if $n = 2^k - 1$. Then, there exists a decomposition*

$$(1) \quad M = M_1 \# (S^n \times S^n) \# \cdots \# (S^n \times S^n),$$

where M_1 is an $(n-2)$ -connected $2n$ -dimensional closed π -manifold such that

$$H_i(M_1) \cong \begin{cases} H_i(M) & \text{if } i = n-1, n+1, \\ 0 & \text{if } i = n. \end{cases}$$

In this paper, we prove the following theorem by using Zhubr's method ([4]).

UNIQUENESS THEOREM. *In the above splitting theorem, the representation (1) is unique in*

the following sense : Let M have another decomposition

$$M = M_2 \# (S^n \times S^n) \# \cdots \# (S^n \times S^n)$$

with $H_n(M_2) = 0$. Then, $M_1 = M_2$ if $n \not\equiv 0, 1 \pmod{8}$, and $M_1 \# \Sigma_1 = M_2 \# \Sigma_2$ for some homotopy spheres $\Sigma_1, \Sigma_2 \in \theta_{2n}$ if $n \equiv 0, 1 \pmod{8}$.

Throughout this paper, we shall use "manifold" for compact, connected, and oriented smooth manifold. By $M = N$ we mean that M is diffeomorphic to N by an orientation preserving diffeomorphism. The sign $\#$ denotes the operation of the connected summation, and the connected sum of the manifold M and k -copies of the manifold N will be denoted by $M \# kN$.

We would like to express our gratitude to Professor H. Ishimoto for his kind help and advice.

2. Some propositions

The proof of the uniqueness theorem is roughly as follows (Compare [4]): First, we show that there exists a cobordism W between $M_1 \# \Sigma_1$ and $M_2 \# \Sigma_2$ for some $\Sigma_1, \Sigma_2 \in \theta_{2n}$. The cobordism W will be $(n-2)$ -connected and, if necessary, we can choose W to be parallelizable. Next, we show that W can be converted into an h -cobordism between $M_1 \# \Sigma_1$ and $M_2 \# \Sigma_2$ by surgeries of index $(n+1)$. This will complete the proof.

The following two propositions assert the above steps.

PROPOSITION 1. Let M_1 and M_2 be $2n$ -dimensional closed π -manifolds ($n \geq 3$). We suppose that $M_1 \# k(S^n \times S^n) = M_2 \# l(S^n \times S^n)$ for some k and l . Then there exists a cobordism W between M_1 and M_2 such that the inclusions $i_s: M_s \rightarrow W$ ($s=1,2$) induce the following isomorphisms :

$$\begin{aligned} (i_s)_* : \pi_j(M_s) &\cong \pi_j(W) \quad \text{for } j \leq n-2, \\ (i_s)_* : H_{n-1}(M_s) &\cong H_{n-1}(W). \end{aligned}$$

If $n \equiv 2, 4, 5, 6 \pmod{8}$, then W will be parallelizable. If we require W to be parallelizable when $n \equiv 0, 1, 3, 7 \pmod{8}$, $n \not\equiv 3, 7$, then it suffices to replace M_1, M_2 by $M_1 \# \Sigma_1, M_2 \# \Sigma_2$ for some $\Sigma_1, \Sigma_2 \in \theta_{2n}$.

PROPOSITION 2. Let M_1 and M_2 be $(n-2)$ -connected $2n$ -dimensional closed π -manifolds ($n \geq 3$) with vanishing n -th homology groups. Let W be an $(n-2)$ -connected cobordism between M_1 and M_2 such that the inclusions $i_s: M_s \rightarrow W$ ($s=1,2$) induce isomorphisms $(i_s)_* : H_{n-1}(M_s) \cong H_{n-1}(W)$ ($s=1,2$). We assume that W is parallelizable if $n \not\equiv 3, 5, 6, 7 \pmod{8}$. Then, W can be converted into an h -cobordism between M_1 and M_2 by surgeries

of index $(n+1)$ (Compare Theorem 2 in [4]).

In order to perform surgeries of index $(n+1)$, we must assume that W is parallelizable if $n \not\equiv 3,5,6,7 \pmod{8}$. (When $n \equiv 3,5,6,7 \pmod{8}$, we can perform surgeries of index $(n+1)$ without the assumption that W is parallelizable, since $\pi_{n-1}(SO)$ is trivial). And then, we must choose the embeddings $S^n \times D^{n+1} \rightarrow W$ so that the modified manifold W_1 is also parallelizable. Therefore, the process is slightly different from that of Zhubr's. However, the assumption of torsion free will make the proof of Proposition 2 simpler than Zhubr's. By such reason, we depend mostly on the technique of Kervaire and Milnor [2, § § 5-6].

3. Proof of Proposition 1

Since the product $S^n \times S^n$ can be modified into the standard $2n$ -sphere S^{2n} by a surgery of index $(n+1)$ with respect to the standard embedding, it follows easily that if $M^{2n} = M_1^{2n} \# (S^n \times S^n)$, then there exists the embedding $\varphi: S^n \times D^n \rightarrow M$ such that $\chi(M, \varphi) = M_1 \# S^{2n} = M_1$. The standard cobordism W between M and $\chi(M, \varphi)$ (i.e. the manifold obtained from the disjoint union $M \times [0,1] \cup D^{n+1} \times D^n$ by identifying $\partial D^{n+1} \times D^n$ with $\varphi(S^n \times D^n) \times \{1\}$) has the homotopy type of M with an $(n+1)$ -cell attached. W also has the homotopy type of the one-point union of M_1 and S^n , for it is seen that the dual embedding $\varphi': D^{n+1} \times S^{n-1} \rightarrow M_1$ is homotopic to a constant map.

If $n \equiv 2,4,5,6 \pmod{8}$ then $\pi_n(SO)$ is trivial, so W will be parallelizable. For, it is known that the standard cobordism between M and $M_1 = \chi(M, \varphi)$ has a trivialization F of the tangent bundle τ_W such that $F|_M = f$ if and only if a well defined element

$$\gamma(\varphi) \in \pi_n(SO_{2n+1}) \cong \pi_n(SO)$$

is zero, where f is a fixed trivialization of the stable tangent bundle $\tau_M \oplus \varepsilon_M^1$ (See § 6 in [2]).

If we require W to be parallelizable when $n \equiv 0,1,3,7 \pmod{8}$, $n \neq 3,7$, we can obtain a parallelizable standard cobordism between M and $M_1 \# \Sigma_1$ for some $\Sigma_1 \in \theta_{2n}$ by the following alteration of the standard embedding $\varphi: S^n \times D^n \rightarrow M$. Let $\alpha: S^n \rightarrow SO_n$ be a differentiable map, and define $\varphi_\alpha: S^n \times D^n \rightarrow M$ by $\varphi_\alpha(x, y) = \varphi(x, \alpha(x) \cdot y)$. From Lemma 6.2 in [2], it follows that a map α can be chosen so that $\gamma(\varphi_\alpha) = 0$, provided $n \neq 1,3,7$. (Remark: It is verified that Lemma 6.2 in [2] is also valid for $p = q + 1$, if $p \neq 1,3,7$). Therefore the corresponding standard cobordism will be parallelizable. Hence it remains now to show that $\chi(M, \varphi_\alpha) = M_1 \# \Sigma_1$ for some $\Sigma_1 \in \theta_{2n}$. But it suffices to show that the product $S^n \times S^n$ is modified into a homotopy sphere by a surgery with respect to the altered embedding φ_α of the standard embedding $\varphi: S^n \times D^n \rightarrow S^n \times S^n$.

LEMMA 1. Let $\varphi_\alpha: S^n \times D^n \rightarrow S^n \times S^n$ be as the above. Then $N = \chi(S^n \times S^n, \varphi_\alpha)$ is a homotopy $2n$ -sphere.

PROOF. Let $N_0 = S^n \times S^n - \text{Int } \varphi_\alpha(S^n \times D^n)$. The image of φ_α coincides with the image of φ . So we obtain

$$N_0 = S^n \times S^n - \text{Int } \varphi(S^n \times D^n) = S^n \times D^n \simeq S^n.$$

Therefore N_0 has the homology

$$H_j(N_0) \cong \begin{cases} Z & \text{for } j=0, n, \\ 0 & \text{otherwise.} \end{cases}$$

Consider the homology exact sequence of the pair (N, N_0)

$$H_{j+1}(N, N_0) \rightarrow H_j(N_0) \rightarrow H_j(N) \rightarrow H_j(N, N_0) \rightarrow H_{j-1}(N_0).$$

By excision,

$$H_j(N, N_0) \cong H_j(\varphi'_\alpha(D^{n+1} \times S^{n-1}), \varphi'_\alpha(S^n \times S^{n-1})) \cong \begin{cases} Z & \text{for } j=n+1, 2n, \\ 0 & \text{otherwise,} \end{cases}$$

where $\varphi'_\alpha: D^{n+1} \times S^{n-1} \rightarrow N$ is the dual embedding of φ_α . Thus the group $H_j(N)$ is trivial for $1 \leq j \leq n-1$, $n+2 \leq j \leq 2n-1$. If $j=n, n+1$, we have the sequence

$$0 \rightarrow H_{n+1}(N) \rightarrow H_{n+1}(N, N_0) \xrightarrow{\partial_*} H_n(N_0) \rightarrow H_n(N) \rightarrow 0.$$

The group $H_{n+1}(N, N_0)$ is generated by the class corresponding to $\varphi'_\alpha(D^{n+1} \times y_0)$ where y_0 is a point of S^{n-1} , and ∂_* maps this generator into the class of $H_n(N_0)$ corresponding to $\varphi'_\alpha(S^n \times y_0) = \varphi_\alpha(S^n \times y_0)$. Since $\varphi_\alpha(S^n \times y_0)$ is homotopic to $S^n \times o$ (in $S^n \times D^n$) which represents the generator of $H_n(N_0)$, the map ∂_* is an isomorphism. Therefore, $H_{n+1}(N)$ and $H_n(N)$ are trivial. Thus, N has the homology of the $2n$ -sphere. Clearly N is simply connected, so this completes the proof.

Note that the new standard cobordism also has the same homotopy type as the old. Thus we obtain the following lemma.

LEMMA 2. Let M be a $2n$ -dimensional closed π -manifold ($n \geq 3$). If $M = M_1 \# (S^n \times S^n)$, then there exists such a cobordism W between M and M_1 that has the homotopy type of the one-point union of M_1 and S^n , or symmetrically, has the homotopy type of M with an $(n+1)$ -cell attached. If $n \equiv 2, 4, 5, 6 \pmod{8}$, then W is parallelizable. If we require W to be parallelizable when $n \equiv 0, 1, 3, 7 \pmod{8}$, $n \neq 3, 7$, then it suffices to replace M, M_1 by $M, M_1 \# \Sigma_1$ for some $\Sigma_1 \in \theta_{2n}$.

Now, we prove the proposition. It suffices to consider the case that we require W to be parallelizable. Let $M = M_1 \# k(S^n \times S^n) = M_2 \# l(S^n \times S^n)$ and let f be a fixed trivialization of the stable tangent bundle $\tau_M \oplus \varepsilon_M^1$. By Lemma 2, we obtain a cobordism W_1 between M and M_1 (or $M_1 \# \Sigma_1$) which has the framing F_1 of the tangent bundle τ_{W_1} satisfying $F_1|_M = f$, and also obtain a cobordism W_2 between M and M_2 (or $M_2 \# \Sigma_2$) which has the framing F_2 of the tangent bundle τ_{W_2} satisfying $F_2|_M = f$. By pasting together $(-W_1)$ and W_2 along M , we have a cobordism W between M_1 and M_2 (or $M_1 \# \Sigma_1$ and $M_2 \# \Sigma_2$), and W acquires the framing F of the tangent bundle τ_W such that $F|_{W_1} = F_1$ and $F|_{W_2} = F_2$. It is clear that W has the homotopy type of

$$M_1 \vee \underbrace{S^n \vee \dots \vee S^n}_k \cup \underbrace{e^{n+1} \cup \dots \cup e^{n+1}}_l,$$

or

$$M_2 \vee \underbrace{S^n \vee \dots \vee S^n}_l \cup \underbrace{e^{n+1} \cup \dots \cup e^{n+1}}_k,$$

so, the isomorphisms of the proposition are obtained. This completes the proof of Proposition 1.

4. Proof of Proposition 2

Let M_1 , M_2 and W be the manifolds which satisfy the conditions of Proposition 2. Using the homology exact sequence of the pair (W, M_s) ($s=1,2$), we obtain the following:

$$(2) \quad H_j(W, M_s) \cong \begin{cases} 0 & \text{if } j \leq n-1 \text{ or } j \geq n+2, \\ H_n(W) & \text{if } j = n, \\ H_n(W)/\text{Tor } H_n(W) & \text{if } j = n+1. \end{cases}$$

The last isomorphism is shown by the Poincaré duality theorem and the universal coefficient theorem. In fact,

$$\begin{aligned} H_{n+1}(W, M_1) &\cong H^n(W, W_2) \cong \text{Hom}(H_n(W, M_2), Z) \\ &\cong \text{Hom}(H_n(W), Z) \cong H_n(W)/\text{Tor } H_n(W). \end{aligned}$$

By replacing M_1 with M_2 , we also have $H_{n+1}(W, M_2) \cong H_n(W)/\text{Tor } H_n(W)$.

Let $\varphi: S^n \times D^{n+1} \rightarrow W$ be an embedding. Since a surgery of index $(n+1)$ does not change the i -th homotopy group of W for $i \leq n-1$, and therefore, the $(n-1)$ -th homology group of W , the resulting cobordism W_φ satisfies the conditions of the proposition also. Hence, the new pair (W_φ, M_s) has such homology as (2). So, if we can

kill the group $H_n(W)$ completely by surgeries of index $(n+1)$, then W can be converted into an h -cobordism.

We note that every class of $H_n(W)$ can be represented by an embedding $S^n \times D^{n+1} \rightarrow W$ by assumption, and if W is parallelizable then the embedding can be chosen within its homotopy class so that the modified manifold will also be parallelizable (See, Lemma 5.3 and Lemma 5.4 in [2]).

By the similar argument of Lemma 5.6 and Lemma 5.7 in [2], a generator λ in the infinite cyclic summands of $H_n(W)$ can be killed by a surgery of index $(n+1)$, where λ is primitive since $H_n(\partial W) = H_n(M_1 \cup M_2)$ is trivial and so $j_*: H_{n+1}(W) \rightarrow H_{n+1}(W, \partial W)$ is surjective. Therefore we can make the group $H_n(W)$ finite without changing the order of the torsion part by a sequence of surgeries of index $(n+1)$. Henceforth, we assume that $H_n(W)$ is finite.

Let us specialize to the case that n is even. In this case, we will apply the technique of Theorem 5.1, for k even, in [2]. If Lemma 5.8 in [2] is applicable to our manifold W , then the proof is completely analogous. Hence, it suffices to show the following.

LEMMA 3. *If n is even, then any surgery of index $(n+1)$ necessarily changes the n -th Betti number of W .*

PROOF. We use the technique of Zhubr (Lemma 2.5 in [4]). By X we denote the double of W and by X_φ we denote the closed manifold obtained by pasting together the manifolds W and W_φ along $M_1 \cup M_2$. Note that X_φ is obtained from X by a surgery of index $(n+1)$ with respect to the embedding $\varphi: S^n \times D^{n+1} \rightarrow W \subset X$. We use homology with rational coefficients. Consider the Mayer-Vietoris homology exact sequence of the triad (X, W, W)

$$0 = H_n(M_1 \cup M_2) \rightarrow H_n(W) \oplus H_n(W) \rightarrow H_n(X) \rightarrow H_{n-1}(M_1 \cup M_2) \rightarrow \cdots \rightarrow H_{n-2}(M_1 \cup M_2) = 0.$$

From its exactness follows the equality

$$2\dim H_n(W) = \dim H_n(X) - \dim H_{n-1}(M_1 \cup M_2) + 2\dim H_{n-1}(W) - \dim H_{n-1}(X).$$

Since $H_{n-1}(M_s) \cong H_{n-1}(W)$, we obtain

$$(3) \quad 2\dim H_n(W) = \dim H_n(X) - \dim H_{n-1}(X).$$

Similarly, from the exact sequence of the triad $(X_\varphi, W, W_\varphi)$, we have

$$\begin{aligned} \dim H_n(W) + \dim H_n(W_\varphi) &= \dim H_n(X_\varphi) - \dim H_{n-1}(M_1 \cup M_2) + \dim H_{n-1}(W) \\ &\quad + \dim H_{n-1}(W_\varphi) - \dim H_{n-1}(X_\varphi). \end{aligned}$$

Since a surgery of index $(n+1)$ does not change the $(n-1)$ -th homology groups of W and X , we obtain the equality

$$(4) \quad \dim H_n(W) + \dim H_n(W_\phi) = \dim H_n(X_\phi) - \dim H_{n-1}(X).$$

Hence, by (3) and (4),

$$(5) \quad \dim H_n(W) - \dim H_n(W_\phi) = \dim H_n(X) - \dim H_n(X_\phi).$$

Here, X is a closed manifold. Therefore the similar argument of Lemma 5.8 in [2] is applicable, where note that since $H_i(X) \cong H_i(X_\phi)$ for $i \leq n-1$,

$$e^*(X) - e^*(X_\phi) \equiv \dim H_n(X) - \dim H_n(X_\phi) \pmod{2}$$

(e^* denotes the semi-characteristic). Thus, $\dim H_n(X) \neq \dim H_n(X_\phi)$, and so $\dim H_n(W) \neq \dim H_n(W_\phi)$. This completes the proof.

Now consider the case that n is odd. Let $j_s : H_n(W) \rightarrow H_n(W, M_s)$ be a standard homomorphism ($s=1,2$). From the exact sequence

$$0 = H_n(M_s) \rightarrow H_n(W) \xrightarrow{j_s} H_n(W, M_s) \rightarrow H_{n-1}(M_s) \xrightarrow{\cong} H_{n-1}(W),$$

it follows that j_s is an isomorphism ($s=1,2$). Let

$$L : \text{Tor } H_n(W, M_1) \otimes \text{Tor } H_n(W, M_2) \rightarrow Q/Z$$

be the linking pairing. Define the pairing

$$L' : \text{Tor } H_n(W) \otimes \text{Tor } H_n(W) \rightarrow Q/Z$$

by the formula $L'(\eta, \xi) = L(j_1(\eta), j_2(\xi))$, where $\eta, \xi \in \text{Tor } H_n(W)$. Since n is odd and j_s ($s=1, 2$) are isomorphisms, L' is symmetric and non-singular. We assumed that $H_n(W)$ is finite. Note that Lemma 6.3 and Lemma 6.4 in [2] are also applicable for W . So, if $L'(\lambda, \lambda) \neq 0$ for some $\lambda \in H_n(W)$ the group $H_n(W)$ can be simplified by a surgery of index $(n+1)$, that is, we can obtain the manifold W_1 such that $\text{Ord } H_n(W_1) < \text{Ord } H_n(W)$. Therefore we may assume that $L'(\lambda, \lambda) = 0$ for every $\lambda \in H_n(W)$. According to Lemma 6.5 in [2] (or Lemma 3.6 in [4]), all the elements of such group are of order 2 and hence $H_n(W)$ must be a direct sum of cyclic groups of order 2.

LEMMA 4. *If the embedding ϕ represents the non-zero class $\lambda \in H_n W$ then $\dim H_n(W; Z_2) \neq \dim H_n(W_\phi; Z_2)$.*

PROOF. Define the manifolds $X = W \cup W$ and $X_\phi = W \cup W_\phi$ similarly as before. Since X is closed, it follows from Lemma 2.1 in [4] that $\dim H_n(X; Z_2) \neq \dim H_n(X_\phi; Z_2)$. So, by applying the equality(5) in Z_2 -coefficient, it follows that $\dim H_n(W; Z_2) \neq \dim H_n(W_\phi; Z_2)$.

We note that $H_{n-1}(W)$ remains always torsion free since $H_{n-1}(W) \cong H_{n-1}(M_s)$ by assumption. Thus the proof of the proposition when n is odd is completely analogous to that of Theorem 5.1, for k odd, in [2].

This completes the proof of Proposition 2.

5. Proof of Uniqueness Theorem

We suppose that M has two representations $M_1 \# k(S^n \times S^n)$ and $M_2 \# l(S^n \times S^n)$. By Proposition 1, there exists a parallelizable cobordism W between M_1 and M_2 if $n \equiv 2, 4, 5, 6 \pmod{8}$ and between $M_1 \# \Sigma_1$ and $M_2 \# \Sigma_2$ for some $\Sigma_1, \Sigma_2 \in \theta_{2n}$ if $n \equiv 0, 1, 3, 7 \pmod{8}$, $n \neq 3, 7$. W is $(n-2)$ -connected and satisfies the homology condition of Proposition 2. Hence, by Proposition 2, W is converted into an h -cobordism. But, when $n \equiv 3, 7 \pmod{8}$ we need not require W to be parallelizable, therefore Σ_1 and Σ_2 may be the standard $2n$ -sphere. So, if $n \not\equiv 0, 1 \pmod{8}$, then $M_1 = M_2$, and if $n \equiv 0, 1 \pmod{8}$, $M_1 \# \Sigma_1 = M_2 \# \Sigma_2$ for some $\Sigma_1, \Sigma_2 \in \theta_{2n}$. This completes the proof.

References

- [1] H. Ishimoto, On the structure of $(n-2)$ -connected $2n$ -dimensional π -manifolds, Publ. R.I.M.S. Kyoto Univ. 5(1969), 65-77.
- [2] M.A. Kervaire and J. Milnor, Groups of homotopy spheres I, Ann. of Math. 77(1963), 504-537.
- [3] C.T.C. Wall, Classification problems in differential topology V, On certain 6-manifolds, Invent. Math. 1(1966), 355-374.
- [4] A.V. Zhubr, Theorem on decomposition for simply connected six-dimensional manifolds, Zap. Nauč. Sem. Leningrad. Otdel. Mat. Steklov. (LOMI) 36(1973), 40-49, and J. Soviet Math. 8(1977), 554-561.